# Primitive spatial graphs and graph minors 

Makoto Ozawa<br>(Komazawa University)

Yukihiro Tsutsumi
(Sophia University)

July 22, 2004

## Definition

$G:$ graph
$\phi: G \rightarrow S^{3}:$ embedding
$\phi$ is free
$\Longleftrightarrow \pi_{1}\left(S^{3}-\phi(G)\right)$ is a free group
$\phi$ is flat
$\Longleftrightarrow \forall$ cycle $C \subset G, \exists$ disk $D \subset S^{3}$,
s.t. $D \cap \phi(G)=\partial D=\phi(C)$
$\phi$ is primitive
$\Longleftrightarrow \forall$ component $G_{i}$ of $G$,
$\forall$ spanning tree $T_{i}$ of $G_{i}$,
the bouquet $\phi\left(G_{i}\right) / \phi\left(T_{i}\right)$ is trivial

## Fundamental Theorem and Conjecture

- Theorem (Robertson-Seymour-Thomas)
$\phi$ is flat $\Longleftrightarrow$
$\forall H \subset G,\left.\phi\right|_{H}$ is free

Theorem 1
$\phi$ is primitive $\Longleftrightarrow$
$\forall$ connected $H \subset G,\left.\phi\right|_{H}$ is free

- Theorem (Robertson-Seymour-Thomas)
$G$ is flat $\Longleftrightarrow G$ is linkless

Conjecture 1
$G$ is primitive $\Longleftrightarrow G$ is knotless

## $\phi$ is primitive $\Longleftrightarrow$

 both of $\phi_{G-e}$ and $\phi_{G / e}$ are primitiveTheorem 3
Suppose $\phi(H) \xrightarrow{\Delta Y} \phi^{\prime}(G)$,
where the 3-cycle in $\phi(H)$ bounds a disk.
Then
$\phi(H)$ is primitive $\Longleftrightarrow \phi^{\prime}(G)$ is primitive


$$
Y \Delta \text { - and } \Delta Y \text {-exchange }
$$

Remark
Theorem 2 and 3 also hold on knotless.

## Graph minor

Theorem 4
"Primitive" $\mathcal{P}$ is a minor-closed property.

Theorem 5
$\Omega(\mathcal{P}) \supset\left(K_{7}\right.$-family $) \cup\left(K_{3,3,1,1}\right.$-family $)$

Remark
$\exists G \in \Omega(\mathcal{P})$ - $\left(K_{7}\right.$-family $) \cup\left(K_{3,3,1,1}\right.$-family $)$

Proof of Remark
Foisy graph $F$ is intrinsically knotted.
$\Rightarrow F$ is not primitive.
$\Rightarrow \exists G \in \Omega(\mathcal{P})$ s.t. $G \prec F$
$\Rightarrow G \notin\left(K_{7}\right.$-family $) \cup\left(K_{3,3,1,1}\right.$-family $)$

## (planar graph) $*\left(v^{+}, v^{-}\right)$is primitive.


$K_{7}-e$ is a minor of planar* $\left(v^{+}, v^{-}\right)$

## Proposition 1

$F$ : Foisy graph
$\widehat{F}^{\prime}$ : the regular projection of $F^{\prime}=F-e$
Then
any spatial embedding of $F^{\prime}$ obtained from $\widehat{F}^{\prime}$ contains a non-free handcuff graph.



Non-free handcuff graphs included in $\phi\left(F^{\prime}\right)$

## Problem 1

Any embedding of $F^{\prime}$ contains a non-trivial knot or a non-free Handcuff graph.

Remark
If Problem 1 is true, then $\Omega(\mathcal{P}) \neq \Omega(\mathcal{K} \mathcal{L})$.

## Primitive embedding

## Theorem 7 <br> If $G$ has no disjoint cycles, then <br> $\phi$ is primitive $\Longleftrightarrow \phi$ is flat

Theorem 8
Any primitive embedding of $H_{n}$ forms:

1. a 2-bridge link with an upper tunnel if $n=1$.
2. a 2-bridge link with an upper tunnel and a lower tunnel if $n=2$.
3. a ( $2, q$ )-torus link with three parallel tunnels if $n=3$.

$H_{1}$

$\mathrm{H}_{2}$

$\mathrm{H}_{3}$

Primitive embedding of $H_{n}(n=1,2,3)$

Theorem 9
An $n$-component link contained in a primitive embedding of a connected graph has bridge number $n$.

Conjecture 2
Primitive embeddings of a 5-connected graph are unique up to reflections.

## Theorem 10

A planar graph has a unique primitive embedding if and only if it has no disjoint cycles.

Moreover, if a planar graph has disjoint cycles, then it has infinitely many primitive embeddings.

Theorem 11
Let $G$ be a graph in the Petersen family. Then for any link contained in a primitive embedding of $G$ is either the trivial link or the Hopf link.

The Petersen graph has a unique primitive embedding.


Conjecture 3
Any graph in the Petersen family has a unique primitive embedding.

## Proof

## Lemma 1

$\mathcal{C}$ : a property preserved under taking minors, multiplication of edges, adding loops, and $Y \Delta$-exchanges.
$H$ : a graph obtained from $G$ by a $\Delta Y$ exchange.

Suppose that $G$ does not have $\mathcal{C}$ and suppose that $H$ is a forbidden graph for $\mathcal{C}$.

Then $G$ is also a forbidden graph for $\mathcal{C}$.

## Proof of Theorem 5

$K_{7}$-family and $K_{3,3,1,1}$-family are not primitive since they are intrinsically knotted.
$K_{7}$-family and $K_{3,3,1,1}$-family are obtained from terminal graphs $H_{12}$ and $C_{14}$ in $K_{7}$-family and $Q_{2}, Q_{3}$ and $R_{1}$ in $K_{3,3,1,1}$-family by $Y \Delta$ exchanges.

Let $G$ be one of these terminal graphs.
It can be checked that for any edge $e, G-e$ and $G / e$ are planar graphs joined with two vertices.

By Theorem 6, $G-e$ and $G / e$ are primitive, hence $G$ is a forbidden graph for $\mathcal{P}$.

We note that $\mathcal{P}$ is preserved under taking minors, multiplication of edges, adding loops, and $Y \Delta$-exchanges.

Now, by Lemma 1, all graphs in $K_{7}$-family and $K_{3,3,1,1}$-family are forbidden graphs for $\mathcal{P}$.

## Terminal graphs



$$
C_{11} \xrightarrow{\vee} C_{12} \rightarrow C_{13} \rightarrow C_{14}
$$

$K_{7}$-family


Terminal graphs of the $K_{7}$-family

$K_{3,3,1,1}$-family


Terminal graphs of the $K_{3,3,1,1}$-family

